PTO/SB/21 (08-00)

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			Applic	ation Number		09/820,973	3		
TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Filing Date			March 29, 2001			
			First Named Inventor			Steve W. Braun, et al.			
			Group Art Unit			2874			
			Exami	ner Name	i				
Total Number of Pages in This Submission			Attorney Docket Number			50777/251099			
	.14	- ENCLO	DSURES	(check all that app	oly)			· · · · · · · · · · · · · · · · · · ·	
Fee Transmittal Form	Assign		.	After Allowance Communication Group					
Fee Attached	☐ Drawing(s)				Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	Licensing-related Papers				Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition			ļ	Proprietary Information			
Affidavits/declaration(	Petition to Convert to a Provisional Application				Status Letter				
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			ess	Other Enclosure(s) (please identify below):			
Fypress Abandonment Request			Terminal Disclaimer Request for Refund			-7 Publications -Return Receipt Postcard -Form PTO-1449 (1 sheet)			
Information Disclosure Statement		CD, Number of CD(s)						7	
Certified Copy of Priority Document(s)		Remarks						0CT 280	77
Response to Missing Parts/ Incomplete Application								0 H	
Response to Missing Parts under 37 CFR 1.52 or 1.53								OCT 15 2003 2800 MAIL ROOM	<u>.</u> [
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	Geoff L. Sutcliffe, Reg. No. 36,3 Kilpatrick Stockton LLP			. 1					
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Date Octob	Date October +, 2002					PATENT	TRADEMARK OFFI	CE	
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	Merle H. Wes								
Signature CV X Qu		<del></del>	acelt	01)		Date	October 4,	2002	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TO 2800 MAIL ROOM

APPLICANT:

Steve W. Brau

SERIAL NO.:

09/820,973

**GROUP ART UNIT: 2874** 

FILED:

March 29, 2001

**EXAMINER:** 

FOR:

OPTICAL TRANSPORT SYSTEM

ATTORNEY DOCKET NO.: 50777/251099

Assistant Commissioner for Patents Washington, D.C. 20231

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed is a copy of the reference listed on the attached modified Form PTO-1449.

The undersigned does not concede that the identified material constitutes prior art within the meaning of the United States patent laws.

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required.

U.S.S.N.: 09/220,973 Filed: March 29, 2001 Applicant: Steve W. Braim

However, if a first Office Action on the merits has issued, please consider this Information Disclosure Statement under 37 C.F.R. 1.97(c). The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

Geoff L. Sutcliffe Reg. No. 36,348 Attorney for Assignee

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Attorney Docket No.: 50777/251099